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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/660,884
Filing Date	September 12, 2003
First Named Inventor	Rowe, Robert
Art Unit	3736
Examiner Name	Winakur, Eric F.
Attorney Docket Number	020204-001410US

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 44,037
Signature		
Date	2004 September 28	

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Signature		Date	9/28/2004

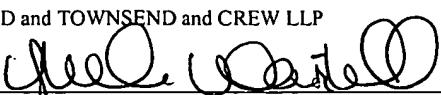
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TOWNSEND and TOWNSEND and CREW LLP

By: 

Nicole M. Wartell



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert K. Rowe et al.

Application No.: 10/660,884

Filed: September 12, 2003

For: APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS BY NEAR-INFRARED SPECTRUM

Examiner: WINAKUR, ERIC FRANK

Art Unit: 3736

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

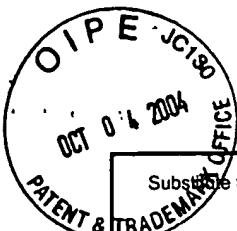
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Patrick M. Boucher
Reg. No. 44,037

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60319021 v1



Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/660,884
				Filing Date	September 12, 2003
				First Named Inventor	Rowe, Robert K
				Art Unit	3736
				Examiner Name	WINAKUR, ERIC FRANK
Sheet	1	of	1	Attorney Docket Number	020204-001410US

U.S. PATENT DOCUMENTS+						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	US-				
	A2	US-				

FOREIGN PATENT DOCUMENTS								
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	B1	PCT	WO 04/068388	A2	08-12-2004			<input type="checkbox"/>
	B2	PCT	WO 04/068394	A1	08-12-2004			<input checked="" type="checkbox"/>
	B3							<input type="checkbox"/>
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